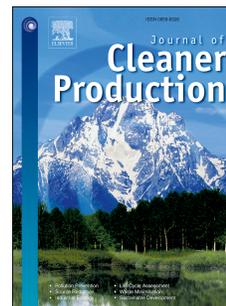


# Journal Pre-proof

Exploiting machine learning for controlled synthesis of carbon dots-based corrosion inhibitors

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PII: S0959-6526(23)02368-5

DOI: <https://doi.org/10.1016/j.jclepro.2023.138210>

Reference: JCLP 138210

To appear in: *Journal of Cleaner Production*

Received Date: 25 May 2023

Revised Date: 19 July 2023

Accepted Date: 20 July 2023

Please cite this article as: He H, E S, Ai L, Wang X, Yao J, He C, Cheng B, Exploiting machine learning for controlled synthesis of carbon dots-based corrosion inhibitors, *Journal of Cleaner Production* (2023), doi: <https://doi.org/10.1016/j.jclepro.2023.138210>.

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### **Credit Author Statement**

**Haijie He:** data processing, writing original draft preparation. **Shuang E:** data processing, writing original draft preparation. **Li Ai:** data collection, data processing. **Xiaogang Wang:** data collection. **Jun Yao:** manuscript reviewing. **Chuang He:** methodology, project administration, funding acquisition. **Boyuan Cheng:** methodology, manuscript reviewing, modeling.

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**Exploiting machine learning for controlled synthesis of carbon dots-based corrosion inhibitors**

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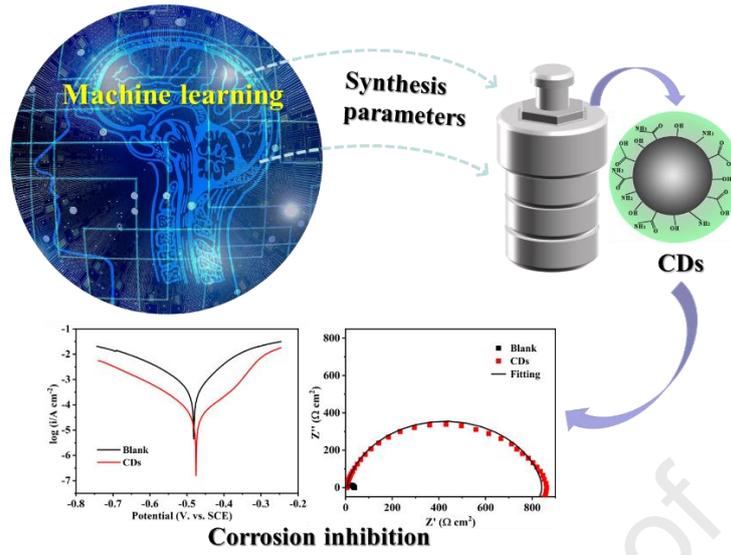
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Declarations of Interest: None



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## Abstract

Benefitting from their prominent corrosion inhibition properties, excellent water solubility and benign environmental friendliness, carbon dots (CDs) have functioned as an ideal candidate for next-generation green corrosion inhibitors. However, the extensive adoption of the trial-and-error route driven by artificial experience in the preparation of CDs-based corrosion inhibitors leads to resource waste and environmental implications, detrimental to their sustainable development. It is still a considerable challenge to controllably prepare CDs-based corrosion inhibitors with the predictable inhibition efficiency. Herein, firstly exploiting a data-driven machine learning (ML) approach, this study aims to precisely predict the inhibition efficiency of CDs and optimize their synthesis route, resulting in the controlled synthesis of CDs-based corrosion inhibitors. Specifically, the dataset is constructed by combining 102 data points on CDs synthesis and inhibition efficiency from numerous published studies and our own experiments. After training and evaluation of different ML models, the Random Forest (RF) ML regression model is chosen with the lowest root-mean-

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20 square error and mean absolute error as well as the highest coefficient of determination. The results show that  
21 this RF model can comprehensively reveal the relationship between various hydrothermal synthesis  
22 parameters and the inhibition efficiency. Guided by the RF model, the inhibition efficiencies of CDs-based  
23 corrosion inhibitors are accurately predicted with an error less than 10%, and based on the genetic algorithm,  
24 their synthesis route is intelligently optimized. This work demonstrates the feasibility of ML techniques in  
25 guiding the optimization of synthesis conditions for CDs-based corrosion inhibitors. This optimization process  
26 results in reduced development time and cost, contributing to the sustainability and cleaner production of  
27 inhibitors.

28  
29 **Key Words:** Carbon dots; Corrosion inhibitor; Machine learning; Inhibition efficiency.

## 1. Introduction

Metal corrosion severely threatens the safety of metallic equipment, and critically aggravates environmental pollution and economic losses, thus always serving as one of the most concerned topics of mankind (Long et al., 2022b; Madlangbayan et al., 2021). How to effectively protect metal from corrosion is always a typical hot and difficult problem (Astuti et al., 2022). As a cost-effective, simple and high-efficiency approach, corrosion inhibitors have attracted significant attention and extensively employed to mitigate the metallic corrosion rate. Conventional corrosion inhibitors containing toxic elements tend to damage human health and pollute the environment (He et al., 2022a). Hence, massive efforts have been made to develop environmentally-friendly green corrosion inhibitors (He et al., 2022a; Kobzar and Fatyeyeva, 2021; Tan et al., 2021). Among them, carbon dots (CDs) are considered as one of the most promising candidates for next-generation green corrosion inhibitors, owing to their prominent corrosion inhibition properties, excellent water solubility, benign environmental friendliness, high chemical inertness, easily available precursors and various synthetic methods (Cui et al., 2017; He et al., 2022a; Li and Gong, 2022; Li et al., 2023; Long et al., 2022a; Long et al., 2022b; Qiang et al., 2019; Ren et al., 2022; Ye, Yuwei et al., 2020; Ye, Y. et al., 2020). Up to now, CDs have demonstrated remarkable inhibition effects for various metals in acid/salt corrosive solution (Cui et al., 2017; He et al., 2022a; Long et al., 2022a; Long et al., 2022b; Qiang et al., 2019; Ren et al., 2022; Ye, Yuwei et al., 2020; Ye, Y. et al., 2020). To fabricate CDs-based corrosion inhibitors, diverse one-step methods such as hydrothermal/solvothermal synthesis (Cen et al., 2019; Cui et al., 2017; Long et al., 2022b; Qiang et al., 2019; Ren et al., 2022; Ye, Yuwei et al., 2020; Zhao et al., 2022; Zheng et al., 2023), pyrolysis reaction (Ye, Y. et al., 2020), chemical oxidation method (He et al., 2022a) and Schiff base reaction (Long et al., 2022a) have been developed. Among them, by virtue of facile manipulation, easily available raw materials and no need of special equipment, hydrothermal/solvothermal approach has acted as the preferred way to synthesize

52 CDs (He et al., 2022b; Wang et al., 2022). Therefore, to efficiently obtain CDs with the high inhibition  
53 efficiency, identifying hydrothermal reaction parameters affecting the inhibition performance of CDs is  
54 urgently necessary.

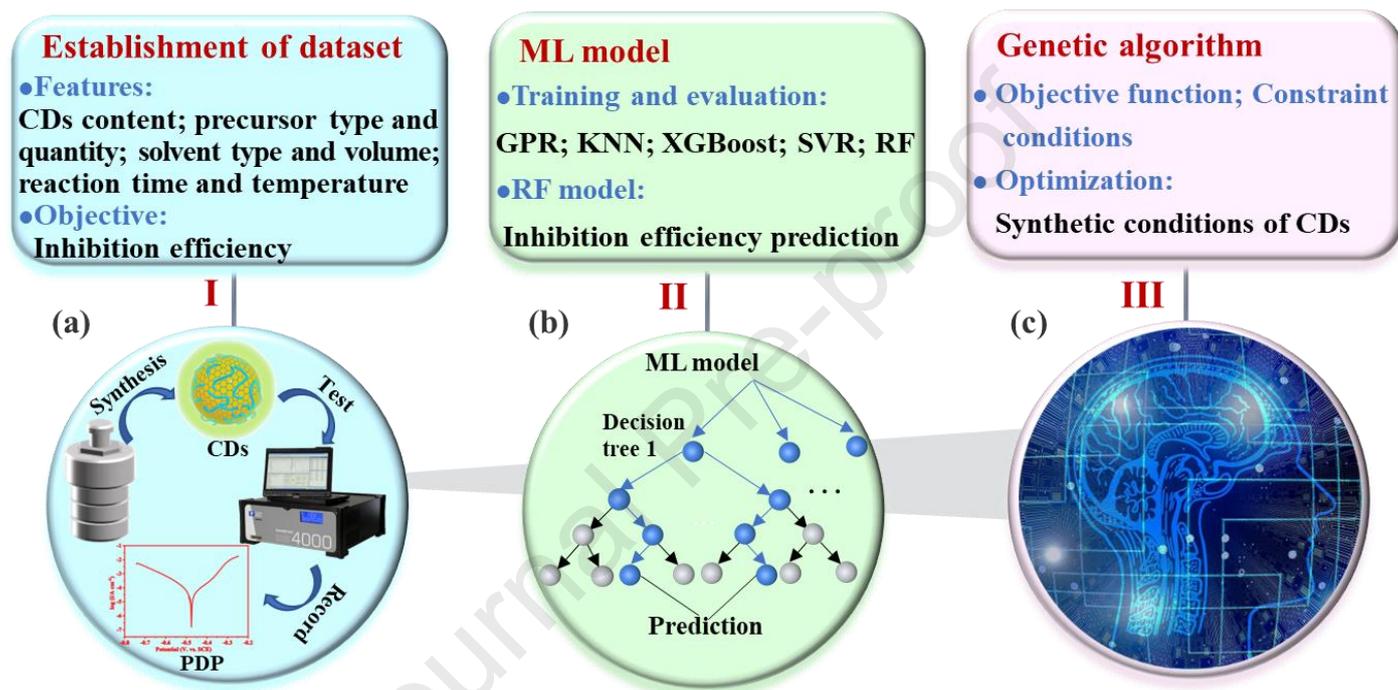
55 At present, the trial-and-error approach is widely employed to prepare CDs-based corrosion inhibitors.  
56 Since this traditional method is driven by artificial experience, it suffers from inherent shortcomings including  
57 randomness, subjectivity and contingency. In detail, to obtain CDs with desirable inhibition efficiency, a large  
58 number of random synthetic experiments and screening processes are required, resulting in a low synthesis  
59 efficiency. Even for the most experienced researchers, they also cannot directly provide reasonable  
60 hydrothermal reaction parameters to generate CDs with the desired inhibition characteristic. For example,  
61 He's group (He et al., 2022a) used the green hydrothermal treatment to synthesize the biomass-derived CDs  
62 as an efficient corrosion inhibitor. And Guo's group (Zhu et al., 2022) adopted a hydrothermal method to  
63 obtain N-doped CDs, and demonstrated the outstanding inhibition behavior of these CDs for carbon steel.  
64 Although the reported studies above successfully prepare the different CDs-based inhibitors, the hydrothermal  
65 parameters are randomly selected. Thus, there is a high probability that the formed CDs do not possess the  
66 best inhibiting performance. If CDs-based corrosion inhibitors are only blindly prepared without predictable  
67 design and controlled synthesis, it will undoubtedly lead to invalid synthetic experiments, tremendous costs  
68 and potential environmental risk. As is well-known, the characteristics of the final CDs products are  
69 determined by precisely defined synthetic parameters (Wang et al., 2021). However, the hidden rule between  
70 hydrothermal synthesis conditions and inhibition behaviors of CDs is still unknown. This gives rise to failing  
71 to controllably prepare CDs-based corrosion inhibitors with the predictable inhibition efficiency. As a result,  
72 it is highly urgent to develop a feasible strategy to comprehensively reveal the correlation between  
73 hydrothermal reaction parameters and inhibition performance of CDs, thereby achieving predictable design



74 and controlled synthesis of CDs-based corrosion inhibitors.

75 As a subtopic of artificial intelligence technology, machine learning (ML) has made enormous progress  
76 in recent years, which can efficiently process large amounts of experimental and computationally simulated  
77 data to fully mine the hidden information in the data. This superiority enables ML to be widely applied in  
78 various research fields including strength prediction (Li and Song, 2023), damage evaluation (Ai et al., 2022),  
79 structural health monitoring (Ai et al., 2023), chemical reactions monitoring (Meuwly, 2021), transportation  
80 network detection (Sun et al., 2023), imaging analysis (Flah et al., 2020; Hao et al., 2023) and materials  
81 performance optimization (Tran and Ulissi, 2018). Especially for the development of novel materials, ML  
82 with a strong adaptability shows high prediction accuracy to their properties through effectively learning from  
83 the past and even failed data, immensely expediting the exploration period and reducing the cost. More  
84 importantly, the feasibility and potential of introducing ML into the field of CDs research have been  
85 convincingly proved (Chen et al., 2022; Han et al., 2020; Hong et al., 2022; Luo et al., 2022; Wang et al.,  
86 2021). For example, in 2020, Wu's group developed an XGBoost ML model to reveal the relationship between  
87 various synthesis parameters and experimental outcomes, resulting in the successful creation of green-  
88 emission CDs with fluorescent quantum yields of up to 39.3% (Han et al., 2020). Subsequently, Qian's group  
89 also used an extreme gradient boosting (XGBoost) ML model to fabricate customized CDs with excellent  
90 optical properties (Hong et al., 2022). More recently, Liu's group created a Random Forest (RF) ML model to  
91 investigate the reaction parameters and photoluminescence properties of CDs in multiple dimensions,  
92 accurately predicting their photoluminescence properties (Chen et al., 2022). And Huang's group revealed the  
93 feasibility of ML to assist the synthesis of red fluorescent CDs (Luo et al., 2022). Existing studies employing  
94 ML to predict the optical properties of CDs have made significant progress, but they are unable to achieve  
95 intelligent optimization preparation of CDs, resulting in the inability to controllably synthesize CDs with

specific properties (Chen et al., 2022; Han et al., 2020; Hong et al., 2022; Luo et al., 2022; Wang et al., 2021). More importantly, no research on the predictable design and controlled preparation of CDs-based corrosion inhibitors *via* ML has been reported until now. This research gap makes it impossible to achieve controlled synthesis of CDs-based corrosion inhibitors based on ML, seriously hindering the development of CDs-based corrosion inhibitors.



**Scheme 1** Application of ML for controlled synthesis of CDs-based corrosion inhibitors: (a) establishment of the dataset; (b) modelling for inhibition efficiency prediction; (c) synthetic optimization of CDs.

Taking this into account, the current work aims to provide a suitable ML model to predict inhibition efficiency and optimize the synthesis process of CDs-based corrosion inhibitors, resulting in their controlled synthesis. The demerits of the trial-and-error method including randomness, subjectivity and contingency are successfully surmounted. In detail, a RF ML regression model on hydrothermally synthesized CDs-based corrosion inhibitors is established, revealing the relationship between various synthesis parameters and the inhibition efficiency of CDs. Guided by the RF model, the inhibition efficiencies of CDs-based corrosion

inhibitors are precisely predicted, and their synthesis route is intelligently optimized based on the genetic algorithm (GA) (**Scheme 1**). In the Section of Results and discussion, firstly, the processes for predictable design and controlled preparation of CDs-based corrosion inhibitors by ML are depicted; Secondly, extraction of the dataset for ML is presented; Subsequently, construction of ML model for inhibition efficiency prediction is shown; And then, intelligent optimization of the synthesis route for CDs-based corrosion inhibitors is illustrated; Finally, characterization of CDs-based corrosion inhibitors synthesized with the assistance of the GA is performed. This study demonstrates that ML as an effective strategy can establish the relationship between synthesis parameters and inhibition efficiencies of CDs, and guide the optimization of synthesis conditions for CDs-based inhibitors. All the observations above would assist researchers in the controlled synthesis of CDs-based corrosion inhibitors with predictable inhibition efficiency.

## 2. Experimental section

### 2.1. Materials and Reagents

Concentrated hydrochloric acid (HCl; AR grade, 36%~38%) was purchased from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). Ammonium citrate (AC; AR grade), *o*-phenylenediamine (*o*-PD; AR grade) and citric acid (CA) were collected from Shanghai Macklin Biochemical Co., Ltd. (Shanghai, China). All the chemicals were used as received without further purification. Deionized (DI) water was adopted throughout the experiment. Q235 carbon steel specimens with the size of 15 mm × 15 mm × 3 mm were obtained from the local supplier. They were polished using several grades of emery papers (400, 600, 800, 1000, 1200, 1500 and 2000 grid) sequentially, then washed by DI water and degreased through ethanol, finally dried under cold air flow for electrochemical experiments.

### 2.2. Synthesis of CDs-based corrosion inhibitors

The precursor (AC, *o*-PD or CA) and DI water with a certain mass ratio were sealed into a Teflon-lined

132 stainless autoclave, and then placed into a drying oven at some temperature for a certain time. After cooling  
133 to room temperature, the mixture was centrifuged at 8000 rpm for 15 min, and then filtered *via* a 0.1  $\mu\text{m}$   
134 membrane to remove large particles. Finally, to obtain solid-state CDs, rotary evaporation and vacuum freeze-  
135 drying were used to treat mixture above. The collected CDs could be directly used as the corrosion inhibitors.  
136 Hence, in this work, CDs-based corrosion inhibitors refer to CDs.

### 137 2.3. Characterization of CDs

138 Transmission electron microscopy (TEM, Hitach HT7700, Japan) were used to observe CDs morphology.  
139 Raman spectra were confirmed using an alpha300 R Raman spectrometer (WITec, Germany) with an  
140 excitation wavelength of 488 nm. Fourier transform infrared spectroscopy (FTIR, Thermo Scientific Nicolet-  
141 6700, USA) and X-ray photoelectron spectrometer (Thermo Scientific K-Alpha+, USA) were introduced to  
142 confirm the functional groups information of CDs. The Ultraviolet-visible (UV-vis) spectra of CDs were  
143 gathered by employing a Lambda 750 UV-Vis-NIR spectrophotometer (Perkin Elmer, USA) with a 1.0 cm  
144 optical path.

### 145 2.4. Electrochemical measurement

146 The test solutions for confirming inhibition efficiency of CDs were obtained as follows. A certain quality  
147 of CDs was directly added to 1 M HCl solution, and a homogeneous solution as the test solution was formed  
148 under the glass rod stirring. The electrochemical measurement containing electrochemical impedance spectra  
149 (EIS) and potentiodynamic polarization (PDP) curves was performed on a PARSTAT 4000+ electrochemical  
150 station with a classical three-electrode system, in which Q235 carbon steel sample, a platinum plate and a  
151 saturated calomel electrode (SCE) were used as the working electrode (WE), counter electrode (CE) and  
152 reference electrode (RE) respectively. WE with the exposure area of 1  $\text{cm}^2$  was placed into a self-made  
153 container. Prior to each measurement, open circuit potential (OCP) must attain a stable state. The testing

parameters for EIS were from  $10^5$  to  $10^{-2}$  Hz with 10 mV amplitude sinusoidal voltage. PDP curves were measured by scanning the potential range within  $\pm 250$  mV versus OCP with a scanning rate of  $1 \text{ mV s}^{-1}$ . All the electrochemical experiments were carried out three times to guarantee the reproducibility.

### 2.5. Random Forest (RF) model

The RF model is an ensemble learning algorithm introduced by Breiman and Cutler in 2001 (Breiman, 2001), combining the Bagging algorithm and decision tree algorithm. It offers a powerful and novel method for establishing a nonlinear mapping between input variables and their responses (Breiman, 2001). The RF model, able to categorize and predict data, works by constructing numerous decision trees from distinct subsets of the dataset and features. On account of its high prediction accuracy, robustness against outliers and noise, fast computation speed, and the ability to mitigate overfitting, the RF model is particularly suitable for managing huge data. The underlying principle of RF involves employing the bootstrap technique to generate random samples (known as bagging) from the original dataset, constructing individual decision trees, and selecting random feature subsets for splitting at each tree node. These decision trees are then combined to yield the final prediction through majority voting. The construction of each tree can be regarded as a partitioning of the data space, with the leaf nodes representing the partitions. These partitions are super-rectangular units that collectively cover the entire data space. The key steps include sample bootstrap sampling, random feature subspace selection, and majority voting (Breiman, 1996).

### 2.6. Genetic algorithm (GA)

GA, an evolutionary algorithm extensively used in computational mathematics (Whitley, 1994), delivers excellent answers to optimization issues. Inspired by evolutionary biology concepts such as heredity, mutation, natural selection, and hybridization, GA is well-suited for tackling complex optimization challenges. In an optimization problem, candidate solutions, referred to as individuals, are depicted as chromosomes. The

176 population evolves over successive generations towards improved solutions (Lim et al., 2004). The  
177 evolutionary process begins with an initial population consisting of randomly generated individuals and  
178 proceeds iteratively. Each generation entails evaluating the fitness of the entire population and randomly  
179 selecting a subset of individuals based on their fitness. These selected individuals are subjected to natural  
180 selection and mutation, resulting in the generation of a new population. This new population subsequently  
181 becomes the current population for the next iteration of the algorithm.

### 182 **3. Results and discussion**

#### 183 *3.1. Processes for predictable design and controlled preparation of CDs-based corrosion inhibitors by ML*

184 As shown in **Scheme 1**, the processes for controlled preparation of CDs-based corrosion inhibitors with  
185 the predictable inhibition efficiency guided by ML are divided into the following three steps. Firstly, 102 data  
186 points on CDs synthesis and inhibition efficiency are collected from a large number of reported studies and  
187 our own experiments to create the dataset. And the features include CDs concentration in HCl, precursor type  
188 and quantity, solvent type and volume as well as reaction time and temperature, while the objective is the  
189 inhibition efficiency of each CDs-based corrosion inhibitor calculated by PDP serves. Secondly, after training  
190 and evaluation of various models, the RF regression model with the best performance is chosen as the optimal  
191 model, which can accurately conjecture the inhibition efficiency of the specific CDs-based corrosion inhibitor.  
192 Thirdly, the synthesis route of CDs-based corrosion inhibitors is intelligently optimized by GA, based on the  
193 RF model and with the highest corrosion inhibition efficiency as the optimization objective. These procedures  
194 will be thoroughly explained in following sections.

#### 195 *3.2. Extraction of the dataset for ML*

196 N doping endows CDs with more excellent inhibiting effects owing to its strong adsorption properties  
197 (Cen et al., 2019; Guo et al., 2022; Long et al., 2022a; Zhu et al., 2022). Hence, the employment of N-

198 containing precursors conduces to improving the inhibition efficiency of CDs. The obvious regularity above  
199 is easily observed from previous studies, but it is quite difficult to explicate clearly the association between  
200 synthesis conditions of CDs and their corresponding inhibition properties. In this case, it is necessary to use  
201 ML to acquire hidden information behind the synthesis parameters, assisting researchers quickly choose the  
202 suitable reaction conditions. First of all, the dataset is created by combining 102 data points on CDs synthesis  
203 and inhibition efficiency from multitudes of reported studies and our own experiments. In detail, according to  
204 previous experience and existing research, CDs concentration in HCl, precursor type and quantity, solvent  
205 type and volume, reaction time and temperature possibly governing the inhibition performance of CDs are  
206 chosen as typical parameters. Since the precursor type and solvent type fail to directly input into the ML model,  
207 the precursor type is converted into two primary characteristics including N and C atomic content, while the  
208 solvent type is replaced by code figures (Number 1, 2, 3 and 4 represent ethanol, NaOH solution, distilled  
209 water and DI water, respectively). Naturally, these eight descriptors containing CDs concentration in HCl, N  
210 atomic content, C atomic content, precursor quantity, solvent type and volume, reaction time and temperature  
211 are regarded as features (**Table 1**). The inhibition efficiencies of CDs calculated by PDP act as the objective.  
212 It should be pointed out that the inhibition efficiencies of CDs are acquired only from the references utilizing  
213 CDs as corrosion inhibitors for Q235 carbon steel in 1 M HCl or 0.5 M H<sub>2</sub>SO<sub>4</sub> at room temperature. In addition  
214 to extracting data of CDs from the published literature, 10 sets of data containing CDs synthetic conditions  
215 and inhibition efficiencies are collected by our experiments to perfect the dataset for ML. This contributes to  
216 ensuring the reliability of ML models in guiding the synthesis of CDs-based corrosion inhibitors. The  
217 inhibiting efficiencies of the as-prepared CDs at different concentrations are calculated by their PDP curves.  
218 The corresponding synthetic parameters of CDs and their inhibition efficiencies calculated by PDP are shown  
219 in **Table S1**. Subsequently, the pairwise correlation between different features is examined before ML model

training. As presented in **Fig. 1a**, all the pairs of features illustrate low linear correlations, testifying the validity of feature selection.

**Table 1** Descriptions of the input features for RF.

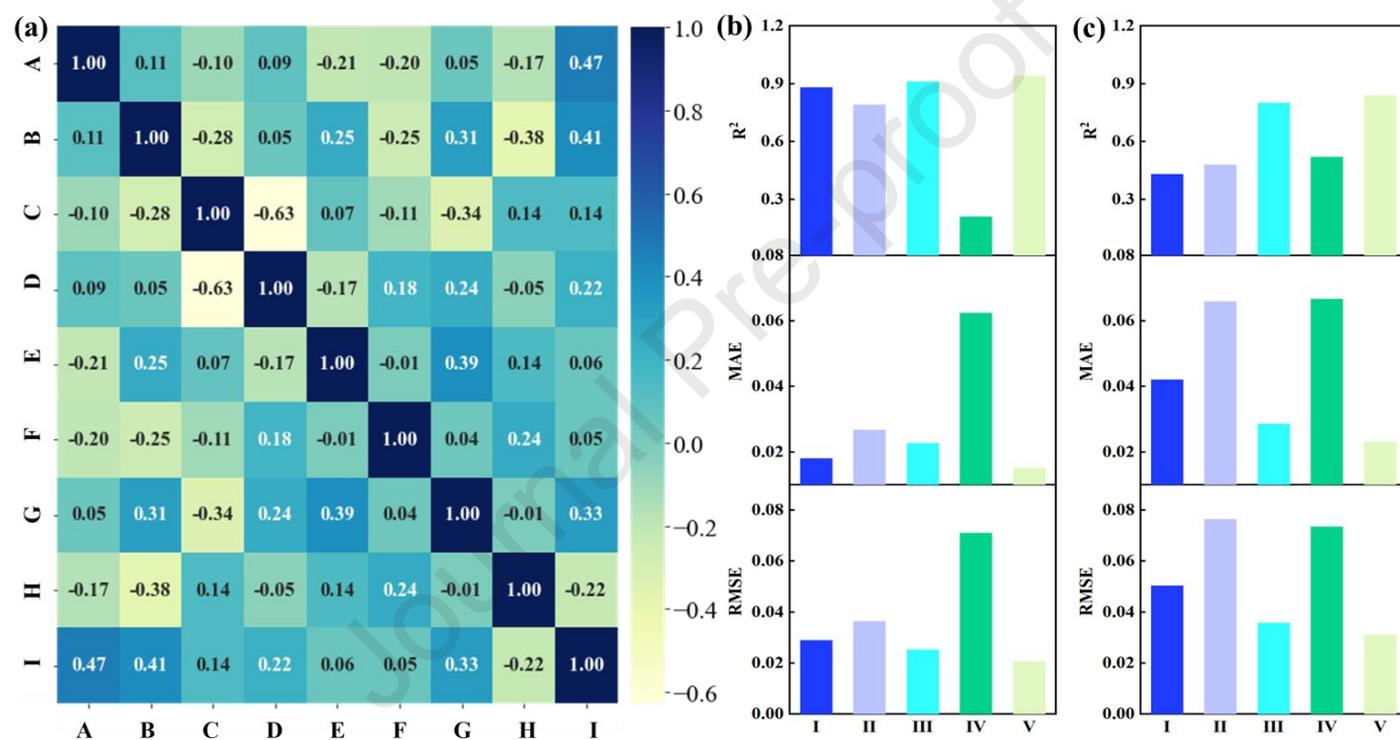
Features	Descriptions
CDs concentration in HCl	The concentration of CDs-based corrosion inhibitors in 1 M HCl
N atomic content	The atomic mass of N multiplies by the number of N in the precursor
C atomic content	The atomic mass of C multiplies by the number of C in the precursor
Precursor quantity	The total quantity of precursor used to prepare CDs
Solvent type	The type of solvent employed to synthesize CDs
Solvent volume	The total volume of solvent used to prepare CDs
Reaction time	Hydrothermal time for preparing CDs by hydrothermal method
Reaction temperature	Hydrothermal temperature for preparing CDs by hydrothermal method

### 3.3. Construction of ML model for inhibition efficiency prediction

The optimal ML model selection process is displayed in **Fig. S1**. After the establishment of the dataset, a series of different regression models containing gaussian process regression (GPR), K-nearest neighbor (KNN), XGBoost, support vector regression (SVR) and RF are examined by the established dataset. And 10-fold cross-validation is chosen as the evaluation method for these models, owing to its accurate and objective evaluation of model performance. When the requirements of the evaluation indicators containing root-mean-square error (RMSE), mean absolute error (MAE) and coefficient of determination ( $R^2$ ) are met, the optimal model is screened to conjecture the inhibiting properties of CDs-based corrosion inhibitors, resulting in more efficient whole learning process. More specifically, the dataset is randomly shuffled, and then grouped at a ratio of 9:1 acting as the training set and validation set respectively. The former is applied to train the ML model; While the latter is employed to evaluate the performance of the thoroughly optimized ML model, thereby avoiding its overfitting. Subsequently, RMSE, MAE and  $R^2$  are introduced to access the performance of different models. According to the conditions of the lowest RMSE and MAE as well as the highest  $R^2$  in



the training set and validation set, the RF model outperforms the other models in predicting the inhibiting performance of CDs-based corrosion inhibitors, as depicted in **Fig. 1b** and **1c**. As is well known, RF model possesses high prediction accuracy, robustness against outliers and noise, fast computation speed and the ability to mitigate overfitting. It should be mentioned that by grid search, hyperparameter tuning is carried out to perfect these models. As a result, the RF model with an excellent generalization ability is finally chosen for further analysis and application.

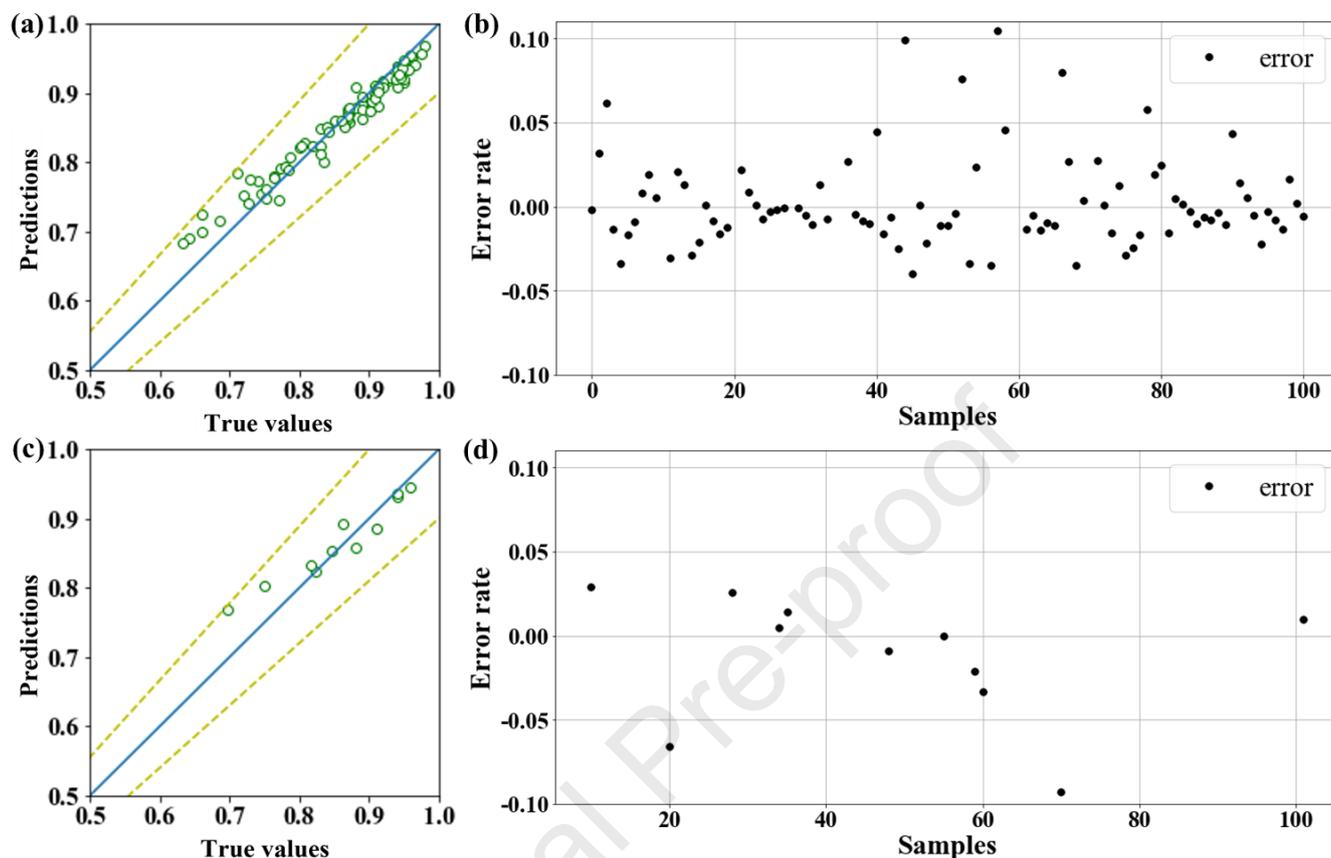


**Fig. 1** (a) Heat map of Pearson's correlation coefficient matrix among the selected features of CDs (from A to I: CDs concentration in HCl, N atomic content, reaction time, solvent type, solvent volume, precursor quantity, C atomic content, reaction temperature and inhibition efficiency); Performance of different ML models evaluated by RMSE, MAE and  $R^2$  in the (b) training set and (c) validation set (from I to VI: GPR, KNN, XGBoost, SVR and RF).

The performance of the RF model depends on the number of decision trees it includes. To determine the optimal number of decision trees, the RF model is tested with the number of trees ranging from 200 to 1000.

250 The results reveal that the Out of Bag (OOB) error consistently decreases until the number of trees reaches  
251 460. Beyond this point, the classification error does not change significantly, even as the number of trees  
252 increases up to 1000. Therefore, the RF structure developed in this study consists of 460 decision trees. To  
253 display the effectiveness and generalization performance of the trained RF model, it is necessary to prove its  
254 performance in the predicting inhibiting behaviors of CDs-based corrosion inhibitors. As illustrated in **Fig. 2a**  
255 and **2c**, regardless of training or validation set, the majority of experimental values fall on the line  $y = x$ ,  
256 indicating that the predicted values are in good agreement with the true values. The error rate (that is relative  
257 error between experimental and predicted values) leads to the same conclusion as above, since the error rates  
258 for the training and validation sets are almost less than 10% (**Fig. 2b** and **2d**), and synchronously, the prediction  
259 error (namely, the absolute error between the predicted value and experimental value) for most of data is  
260 within  $\pm 0.02$  (**Fig. S2**). The above findings verify that the established RF model possesses an eminent feature  
261 extraction ability, converting the data into better feature expression and learning the distribution regularity of  
262 the data to accurately predict the inhibition performance of CDs-based corrosion inhibitors without overfitting.  
263 Consequently, the trained RF model with an outstanding generalization performance combines multiple data  
264 processing algorithms, which is suitable to effectively enhance the synthesis efficiency of CDs-based  
265 corrosion inhibitors. In detail, the designed synthesis parameters are simply input into the RF model prior to  
266 the implementation of the synthetic experiment of CDs-based corrosion inhibitors. The prediction result will  
267 then show whether the synthesis conditions are capable of producing CDs-based corrosion inhibitors with the  
268 desired inhibition performance. This will greatly improve the synthesis efficiency of CDs-based corrosion  
269 inhibitors by avoiding invalid experiments. In addition, it should be noted that with the expansion of the dataset

270 in the future, the generalization performance of the RF model will be further strengthened.



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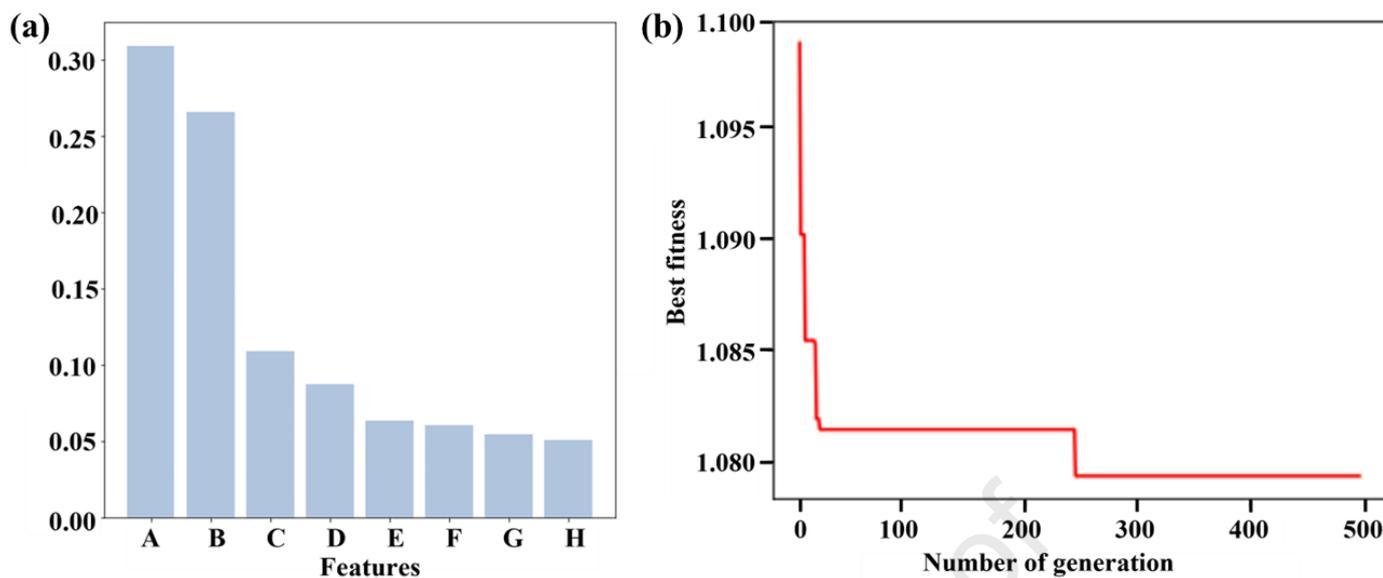
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**Fig. 2** The prediction effect for inhibiting behaviors of CDs-based corrosion inhibitors by the trained RF model: scatter plot and error plot of predicted and experimental values for (a-b) the training dataset and (c-d) test dataset.

To comprehensively reveal the correlation between hydrothermal reaction parameters and inhibition performance of CDs-based corrosion inhibitors, the importance of each feature is extracted from the trained RF model. The importance of a feature indicates how much it contributes to the prediction. The higher the importance of a feature, the greater the influence on the experimental outcome, that is, the value of inhibition efficiency. As indicated in **Fig. 3**, CDs concentration in HCl plays the most important role determining the inhibition behaviors of synthesized CDs, followed by N atomic content and reaction time. This result well coincides with the previous research (Cui et al., 2017; He et al., 2022a; Long et al., 2022a; Long et al., 2022b;

Qiang et al., 2019; Ren et al., 2022; Ye, Yuwei et al., 2020; Ye, Y. et al., 2020). Normally, regardless of the type of CDs, the higher the CDs content, the better the corrosion inhibition performance. This is possibly attributed to the fact that CDs with a higher concentration tend to form a more effective film on the surface of metals. Hence, CDs concentration in HCl is the most important feature to affect the value of inhibition efficiency. Moreover, from the inhibition mechanism aspect to interpret, N-containing groups give rise to the formation of coordination bonds between lone pair electrons of N atom and the unoccupied 3d orbital of Fe atoms, rendering CDs easily adsorb on steel substrate to resist corrosion (Cen et al., 2019; Guo et al., 2022; Long et al., 2022a; Zhu et al., 2022). Thus, the N atomic content in the precursor dominates the inhibiting performance of CDs through influencing the number of N-containing groups in final CDs. Regarding reaction time, it primarily affects the dehydration and condensation degrees of CDs in the reaction process, thereby mainly determine the structures of the final CDs (Ehrt et al., 2017; Shuang et al., 2020). Consequently, CDs with various structures have the different inhibition efficiencies. The above observations demonstrate that ranking importance offers an effective route to explore the significance of different reaction parameters in the synthesis of CDs-based corrosion inhibitors, assisting researchers in selectively synthesizing CDs-based corrosion inhibitors according to the influence degree of parameters. In a word, the trained RF model not only presents a remarkable prediction performance for the inhibiting efficiency of CDs-based corrosion inhibitors, but also offers a valuable algorithm for evaluating the significance of diverse features in the synthesis of CDs-based corrosion inhibitors, thus revealing the relationship between hydrothermal reaction parameters and their inhibition performance.



**Fig. 3** (a) The feature importance extracted from the RF model (from A to H: CDs concentration in HCl, N atomic content, reaction time, solvent type, solvent volume, precursor quantity, C atomic content and reaction temperature); (b) the iterative curve for the optimization of inhibiting efficiency.

### 3.4. Intelligent optimization of the synthesis route for CDs-based corrosion inhibitors

GA is generally known as a way of searching for optimal solutions by simulating natural evolutionary processes, well-suited for addressing complex optimization issues. To dismiss the conventional trial-and-error exploration, the GA is introduced on the basis of the RF model to achieve intelligent optimization of the synthesis route for CDs-based corrosion inhibitors with the highest inhibition efficiency as the optimization objective. The optimization schematic with the highest inhibition efficiency as the objective is shown in **Fig. S3**. First of all, the RF model as the prediction model is established, whose creation process is described in Section 3.3. Then the developed RF model is used to calculate the inhibition efficiency, and the objective function is set as maximized inhibition efficiency. At the same time, the synthesis of CDs is subjected to various constraints, thus some constraint conditions are essential to be defined. With respect to the precursor, *o*-PD containing both carbon and nitrogen elements is frequently selected as the precursor to synthesize N-doped CDs. More importantly, *o*-PD derived N-doped CDs present a favorable inhibiting behavior for the steel in the corrosive acid solution. However, in the existing literature, the hydrothermal preparation conditions

of *o*-PD derived N-doped CDs still suffer from long preparation time, unfriendly solvents and requirement of additional precursors. Thus, it is highly urgent to optimize the preparation conditions of *o*-PD derived N-doped CDs without reducing their inhibition properties. Inspired by this, the *o*-PD is chosen as the precursor. If the preparation conditions of *o*-PD derived N-doped CDs is able to intelligently optimized by GA based on the RF model, it not only contributes to the efficient and low-cost use of *o*-PD, but also validates the application potential of ML in CDs-based corrosion inhibitors. Furthermore, in light of the previous reports and the established dataset in Section 3.1, the range constraint of each feature is displayed in **Table 2**. Finally, to obtain the highest inhibiting efficiency, GA optimization is performed, including the calculation process of selection, crossover and variation. And with the repetitions number condition, the optimization process is terminated until the final condition of the algorithm is satisfied (namely, convergence of the iteration curve). The typical iterative curve during the optimization process is described in **Fig. 3b**, presenting that the best fitness converges after around 240 iterations. After that, the optimized synthetic conditions are figured out. Meanwhile, taking into account the reduction of reaction time and the use of environmentally friendly solutions, the most appropriate optimized synthesis conditions are selected. That is, the amount of *o*-PD is 1.85 g; the solvent type is DI water; the solvent volume is 43.3 mL; the reaction time is 2.28 h, and the reaction temperature is 188°C.

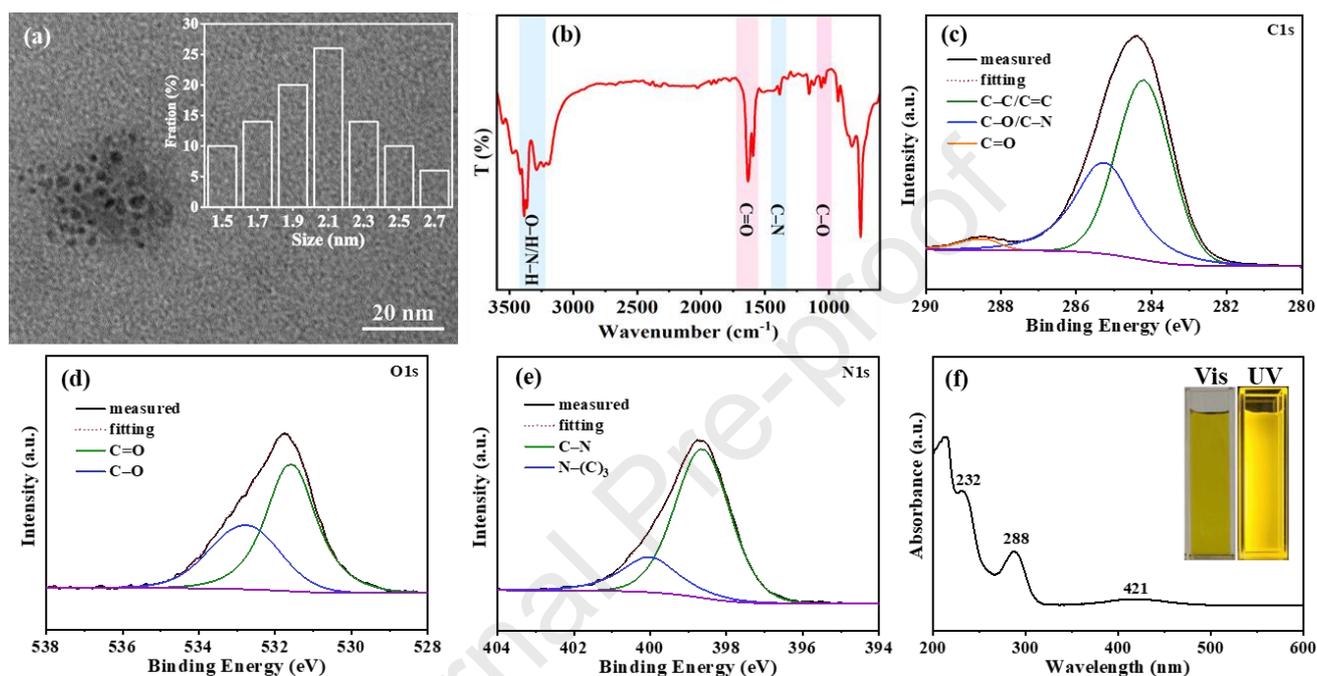
**Table 2** The requirements for the lower limit and the upper limit of each feature (Since the precursor type is *o*-PD, N and C atomic contents are confirmed).

Feature	Lower limit	Upper limit
CDs concentration in HCl (mg/L)	1	200
Solvent type	1	4
Solvent volume (mL)	0	100
Precursor quality (g)	0.6	12.7
Reaction time (h)	0.5	10
Reaction temperature (°C)	150	200

### 3.5. Characterization of CDs-based corrosion inhibitors synthesized with the assistance of the GA

Prior to confirming the inhibiting behavior of the product synthesized with the assistance of the GA, its morphological, structural and optical characterization is performed to testify the successful synthesis of CDs-based corrosion inhibitor (i.e., the as-prepared CDs). The morphology of the product is identified by TEM. As displayed in **Fig. 4a**, most of particles with a quasi-spherical shape share a favorable dispersion, and possess a size distribution of 1.41–2.73 nm in range and *ca.* 2.05 nm on average by randomly counting more than 100 particles. FTIR spectra and XPS are performed to confirm the exhaustive information referring to surface chemical states and the elemental composition of the obtained product. For FTIR spectra (**Fig. 4b**), the broad absorption peaks at 3200–3400, 1630, 1459 and 1054  $\text{cm}^{-1}$  are assigned to –OH/–NH, C=O, C–N and C–O respectively (E et al., 2021; Fu et al., 2023; Xia et al., 2018), suggesting the product possess numerous O- and N-containing functional groups, thereby conferring outstanding water solubility and excellent dispersity in aqueous systems on the product. The similar conclusion can be drawn from XPS. The full range XPS (**Fig. S4**) of CDs involves three marked peaks, assigned to C1s, N1s and O1s. High-resolution XPS C1s, O1s and N1s spectra (**Fig. 4c–e**) demonstrate the presence of C=C/C–C, C–O, C=O, C–N and N–(C)<sub>3</sub> (E et al., 2021; E et al., 2018; Li et al., 2019). The optical properties of CDs are measured by UV–vis spectra. As displayed in **Fig. 4f**, the obvious absorption peaks at 232 and 288 nm are ascribed to the  $\pi$ – $\pi^*$  transition of aromatic  $\text{sp}^2$  domains (Xu et al., 2022), and the weak absorption peak at 421 nm is attributed to  $\text{n}$ – $\pi^*$  transition of O- and N-containing functional groups (Zhang et al., 2018). This implies that the particles in the product are composed of the carbon core as well as O- and N-containing surface groups, giving rise to multiple electronic transition states. In addition, the product aqueous solution with the concentration of 1 mg/mL shows yellow color exposed to visible light and emit a bright yellow fluorescence excited by a 365 nm UV light. According to the definition of CDs, which is that CDs normally are small carbon nanoparticles with the size less than 10

358 nm and the fluorescence as an instinct nature, and a single CD typically consists of a carbon core and  
 359 oxygen/nitrogen-containing surface groups (Ai et al., 2021; He et al., 2021; He et al., 2022b), the above  
 360 product is doubtlessly CDs (directly serving as the corrosion inhibitor). Therefore, the CDs are successfully  
 361 prepared with the assistance of the GA.



362

363 **Fig. 4** Morphological and structural characterization of CDs: (a) TEM image (with the corresponding size  
 364 distribution, inset); (b) FTIR spectra; (c-e) high-resolution XPS C1s, O1s and N1s spectra; (f) UV-vis  
 365 spectra (with photographs taken under daylight and a 365 nm UV light, inset).

366 To validate the successful controlled fabrication of CDs-based corrosion inhibitors with predictable  
 367 inhibition efficiency, the measured inhibition efficiency of as-prepared CDs is compared to their predicted  
 368 inhibition efficiency originating from by the GA. PDP test is executed to find out the measured inhibition  
 369 efficiency (IE) of CDs for Q235 carbon steel in 1 M HCl at room temperature (**Fig. 5**). The corrosion potential  
 370 ( $E_{\text{corr}}$ ), corrosion current density ( $i_{\text{corr}}$ ), cathode slope ( $\beta_c$ ), anode slope ( $\beta_a$ ) and IE of blank group and CDs-  
 371 containing group are summarized in **Table 3**. With respect to blank group, the CDs-containing group presents  
 372 a positive shift in the  $E_{\text{corr}}$  value, suggesting the effective inhibition performance of the as-obtained CDs guided



373 by the GA. Clearly, both  $\beta_c$  and  $\beta_a$  values uncover a great decrease in the presence of CDs, and its  $\beta_a$  value  
374 reduces more sharply than the  $\beta_c$  value, implying that CDs demonstrate mixed-type inhibitive actions, and  
375 dramatically restrain the anodic dissolution reaction on the anode (He et al., 2022a). Correspondingly, the  $i_{\text{corr}}$   
376 value of CDs-containing group decreases by two orders of magnitude compared to the blank group, leading  
377 to a high IE value of 92.3%. This measured IE (92.3%) exhibits excellent agreement with the predicted  
378 inhibition efficiency (94.6%) only within an error less than 3%. Similarly, these above findings can also be  
379 observed from the EIS measurement (**Fig. 5b**). By calculation, the inhibition efficiency value ( $\eta$ ) in the  
380 presence of CDs boosts more than 96%, close to the predicted inhibition efficiency value (**Table 4**). And as  
381 depicted in Bode plots (**Fig. 5c**), the  $|Z|_{0.01 \text{ Hz}}$  value of CDs groups increases tremendously in striking contrast  
382 to that of the blank group. At the same time, the phase angle in the presence of CDs dramatically enlarges,  
383 signifying the adsorption of CDs on the metal matrix (Ye et al., 2019). All the results above not only suggest  
384 that CDs synthesized with the assistance of the GA can efficiently suppress the corrosion of carbon steel in  
385 HCl solution, but also demonstrate that based on the GA, CDs-based corrosion inhibitors with a predictable  
386 inhibition efficiency are able to controllably synthesized. It must be emphasized that in comparison to the  
387 previous report using the same precursor (*o*-PD) to blindly prepare the CDs-based corrosion inhibitor (Cui et  
388 al., 2018), the reaction time in this work is enormously reduced by 81.0% (from 12.0 to 2.28 h) at the same  
389 reaction temperature, and the solvent is changed from ethanol to safer and cheaper DI water. More importantly,  
390 the two kinds of CDs share the similar inhibition efficiency. These comparisons further illustrate that based  
391 on the GA, ML can optimize the synthesis route of CDs with a desirable inhibiting performance, avoiding  
392 invalid synthetic experiments driven by trial-and-error method and tremendously enhancing the synthetic  
393 efficiency of CDs-based corrosion inhibitors.

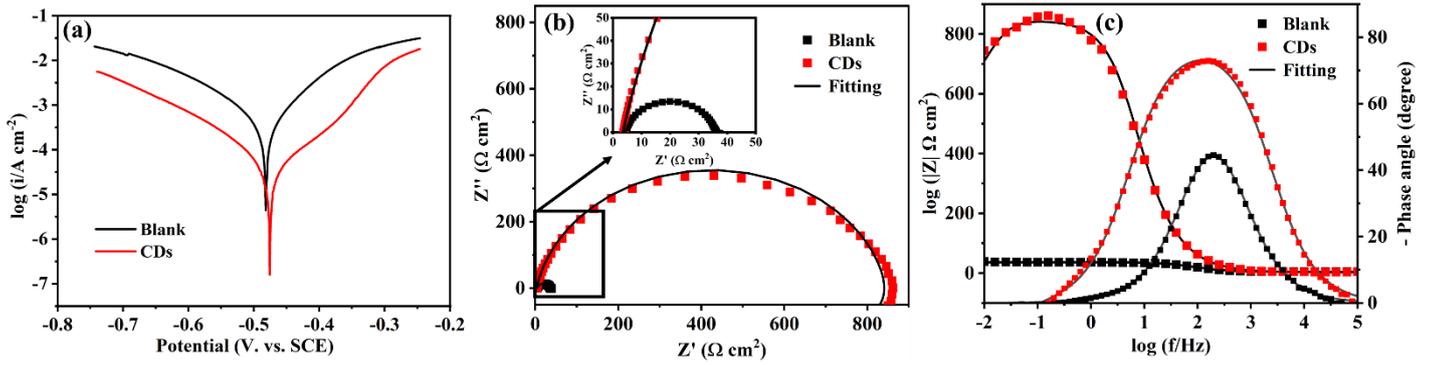


Fig. 5 Electrochemical characterization: (a) PDP curves (b) Nyquist and (c) Bode plots.

Table 3 The calculated electrochemical parameters from PDP curves.

Sample	$E_{\text{corr}}$ (mV/SCE)	$i_{\text{corr}}$ ( $\mu\text{A}/\text{cm}^2$ )	$\beta_c$ (mV/dec)	$\beta_a$ (mV/dec)	IE (%)
Blank	-482	534.2	130	97	
CDs	-475	41.0	98	94	92.3

Table 4 The fitting electrochemical parameters from EIS plots.

Sample	$R_s$ ( $\Omega \text{ cm}^2$ )	$R_{\text{ct}}$ ( $\Omega \text{ cm}^2$ )	$\text{CPE}_{\text{dl}}$ ( $\mu\text{F cm}^{-2}$ )	$n$	$\eta$ (%)
Blank	4.4	32.0	158	0.890	
CDs	3.5	826.4	52	0.894	96.1

#### 4. Conclusions

To cover the gap regarding the lack of controllable preparation for CDs-based corrosion inhibitors, the present study firstly exploits the RF ML model to successfully guide the controlled synthesis of CDs-based corrosion inhibitors with precisely predictable inhibiting efficiency, overcoming defects of the trial-and-error way. The main conclusions were summarized as follows.

(1) The dataset is established by extracting 102 synthesis data points from multitudes of reported studies and our experiments. Then the RF regression model with the optimal performance is created, which can accurately predict the inhibition efficiencies of CDs-based corrosion inhibitors with an error less than 10%.

(2) With the assistance of feature importance from the RF model, the decisive factors in the synthesis of CDs-based corrosion inhibitors can be screened out. The concentration of CDs in HCl has the greatest influence on the inhibitory behaviors of the synthesized CDs, followed by N atomic content and reaction time.

409 This contributes to better understanding the relationship between various hydrothermal synthesis parameters  
410 and the inhibition efficiency.

411 (3) Guided by the RF model, the synthesis route of CDs-based corrosion inhibitors is intelligently  
412 optimized by employing GA. The error between the measured IE (92.3%) and the predicted inhibition  
413 efficiency (94.6%) is only less than 3%. Therefore, controlled preparation for CDs-based corrosion inhibitors  
414 with a favorable and predictable inhibition efficiency is successfully achieved.

415 These findings provide an effective ML strategy to controllably synthesize CDs-based corrosion  
416 inhibitors with the predictable inhibition efficiency, filtering out unsatisfactory synthesis conditions and  
417 greatly improving the synthetic efficiency of CDs-based corrosion inhibitors. Consequently, it contributes to  
418 reducing resource waste and minimizing environmental implications, supporting the sustainability and cleaner  
419 production in the field of corrosion inhibitors. In addition, the proposed ML is expected to be employed for  
420 the design and synthesis of other novel materials. It should be emphasized that the availability of high-quality  
421 data poses a challenge to the performance and generalization capabilities of ML model. Hence, the availability  
422 of diverse and well-curated datasets encompassing a wide range of corrosion inhibitors and experimental  
423 conditions would conduce to the robustness and accuracy of inhibition efficiency predictions. In this work,  
424 the dataset is constructed by combining 102 data points from numerous published studies and our own  
425 experiments. Future efforts should be made to collect high-quality data to further refine and update the as-  
426 exploited ML model. This ongoing improvement will enhance the accuracy and applicability of our ML  
427 approach, and foster the development of more efficient and sustainable corrosion inhibition strategies.

### 428 **Acknowledgments**

429 This work is financially supported by the National Natural Science Foundation of China-Youth Science Fund  
430 (No. 52208273), the Dalian Science and Technology Talent Innovation Support Program (No. 2022RQ035),

the Exploration Project of the Natural Science Foundation of Zhejiang Province (No. LQ21E080003 and No. LTZ22D010001) and the National Natural Science Foundation of China (No. 51978435). Furthermore, the authors thank Xinfang Cui from Shiyanjia Lab ([www.shiyanjia.com](http://www.shiyanjia.com)) for Raman and XPS measurement.

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Journal Pre-proof



**Highlights:**

1. Machine learning is used to predict inhibition efficiency (IE) of carbon dots (CDs).
2. The correlation between synthesis parameters and IE of CDs is revealed.
3. Synthesis route of CDs-based corrosion inhibitors is optimized by genetic algorithm.

Journal Pre-proof

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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